



CONSOLIDATED VERSION OF THE PUBLIC CALL

The Slovak Research and Development Agency (hereinafter referred to as the "Agency" or "SRDA") in accordance with Act No. 172/2005 Coll. on the Organization of State Support for Research and Development and with the amendment of Act No. 575/2001 Coll. on Organization of the Government Activities and the Organization of the Central State Administration (hereinafter referred to as Act No. 172/2005 Coll. as amended), and based on the Agreement between the Government of the Slovak Republic and the People's Republic of China on Scientific and Technical Cooperation, signed on 17. 02. 1997 in Beijing and Memorandum of Understanding between the Ministry of Education, Science, Research and Sport of the Slovak Republic and the Ministry of Science and Technology of the People's Republic of China on Joint Funding of Research and Development Projects, signed on November 27, 2017 in Bratislava

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public call for submitting applications for joint projects supporting cooperation between organizations in the Slovak Republic and the People's Republic of China pursuant to § 12 sect. 2 point c) of Act no. 172/2005 Coll. as amended denoted as SK-CN 2023

Intentions and Objectives of the Public Call

Within the public call SK-CN 2023, project proposals from all research and development areas can be submitted. The aim of bilateral cooperation projects is to establish new or intensify the existing scientific and technological cooperation, using mainly the following instruments:

- preparation of joint international projects;
- preparation of joint publications and other outputs;
- active participation in conferences, organizing the joint scientific events;
- mutual use of instrumentation and laboratory techniques;
- gathering the research materials;
- involvement of Ph.D. students and/or young R & D staff (aged under 35).

In the submitted Project, the Applicant shall indicate the field of science and technology the Application falls into. Applications may be submitted by Slovak legal entities and Slovak natural persons - entrepreneurs without any limitation of their membership in the research and development sector.

Definition of Basic Terms

- **Project** is a set of intentions, objectives and planned time-bound activities in the content-defined field of science and technology. The project includes data on the personnel, material, instrumental and financial conditions needed for its solution.
- **Project solution** is the implementation of planned activities and measures, and thus the implementation of the project's intentions and objectives.

- **Applicant** is a Slovak legal entity or a Slovak natural person - an entrepreneur who holds valid certificate of competence to carry out research and development under § 26a of Act No. 172/2005 Coll. as amended, and who is submitting an application for funds to solve the Project. The project for which the applicant requests funds comprises a part of the application.
- **Recipient** is a je Slovak legal entity or a Slovak natural person - an entrepreneur who holds a valid certificate of competence to carry out research and development under § 26a of Act No. 172/2005 Coll. as amended, and which has been allocated funds from the state budget for the project solution. The recipient is responsible for managing these funds.
- **Partner organisation** is an eligible scientific and research institution that submits a research and development project on the partner side and which will solve the project in cooperation with the recipient.
- **Slovak legal entity** is a legal person established under the Slovak legal order with the registered seat in the Slovak Republic.
- **Slovak natural person - entrepreneur** means an entrepreneur authorised to conduct business in the Slovak Republic with a place of business in the Slovak Republic.
- **Provider – SRDA** is an institution that decides on providing state budget to support research and development to the applicant.
- **Principal investigator** is a person responsible for the project solving and for the effective use of the funds granted for its solution.

Requirements for the Public Call

1. The cooperating partners from the research and development organisations on both sides shall jointly develop content-identical projects.
2. Following the § 18 sect. 2 of Act No. 172/2005 Coll. as amended, an integral part of the application shall be the declaration of honour by the applicant stating that they have a valid certificate of research and development competence. In case the applicant do not have the mentioned certificate, their application shall be refused.
3. An integral part of the application is also a declaration on honour that the information provided in the part “Basic information on the principal investigator” is true.
4. **A copy of the letter of intent on mutual cooperation between the applicant and the partner organisation shall be an integral part of the application.**
5. **The part F of the application must not exceed the maximum limit of 10 pages for Obligatory scheme.¹**

Specific Conditions for a Public Call

1. The total amount of funding allocated for the whole project period and assessment supported in this call shall amount to **EUR 180 000** in maximum.
2. The project funding is subject to the approval of the required amount of the state budget funds for the agency within the state budget of the SR by the National Council of the Slovak Republic, and subsequently to their subdivision from the chapter of the Ministry of Education and Research of SR for the Agency.

¹ Failure to comply with the maximum number of pages of the obligatory scheme at the time of submission will be grounds for the elimination of the application from the evaluation process

3. The total amount of funds provided by the agency to solve one project is limited to a maximum of **EUR 15 000** for the whole project duration and a maximum of **EUR 7 500** per a calendar year of project.
4. One natural person may be the principal investigator in the given call only in one submitted application. In case of submitting multiple applications with the same principal investigator, the agency shall cancel all such applications as illegible from further assessment. A principal investigator is person having an employment relationship with the applicant.
5. The research capacity of any researcher must not exceed 2,000 hours in total per year for projects solution at the same time, regardless of the source of support granted, and/or the project funds provider.
6. The SK-CN 2023 call does not set minimum limits for planned annual researcher capacity. However, the annual principal investigator capacity must not be 0 hours within individual calendar years of the duration of the project. The total researcher capacity of each research team member must not be 0 hours.
7. The principal investigator must be registered in one of the listed scientific databases (WoS - Web of Science, Scopus or ORCID) and have his unique identifier in one of these databases. This information shall be provided in the application submitted. Failure to comply with this condition results in the withdrawal of the application.
8. The applicants note that in relation to investigators they are required to comply with the Regulation No. 679/2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation) and the Act No. 18/2018 Coll. on personal data protection as amended. The applicants also note that within pre-contractual relations the processing of investigators' personal data by the agency is necessary. In the case of concluding an Agreement on the provision of funds, to which the applicant will be a contracting party, the processing of investigators' personal data by the agency is necessary for the fulfilment of this agreement. Based on the aforementioned legal basis, the agency shall process investigators' personal data such as first name, last name, title, position and date of birth for the purposes of their unambiguous identification.
9. In this call, the agency does not provide any capital funds for procurement of tangible and intangible assets.
10. Eligibility of activities under individual project implementation cost items in accordance with §17 sect. 2 of Act No. 172/2005 Coll. as amended, is specified in Annex 3 of the full text of the call.
11. The other expenses associated with the project implementation covered by the agency's resources are set at a minimum of EUR 100, while not exceeding 30% of the total project costs provided by the agency in each financial year.
12. For non-business entities, the agency may provide funding for basic, applied research and development up to 100% of the costs.
13. For business entities, the agency's funding is governed by Act No. 358/2015 Coll. on regulation of certain relations in state aid and *de minimis* aid and on the amendment of certain laws (the State Aid Act).
14. The principal investigator of an agency-funded project is obliged to include in the final report the mandatory outputs in the form of at least 1 submitted WoS-, Scopus- or ORCID-level publication. Failure to fulfill this mandatory output shall result in the evaluation of the project implementation as insufficient.
15. The principal investigator of any project funded by the agency, which received a "insufficient level of project solving" after completing project solution of the public call, cannot be the principal investigator in any application submitted within the agency's public calls for the given year and for further 3 calendar years from the year in which the final

project assessment report was delivered by the agency to the recipient and to the principal investigator. Non-fulfilment of the condition results in the refusal of application.

16. If the funding agreement was terminated by the SRDA by withdrawal, termination, or the decision on suspending project funding because of the recipient's breach of obligations set under the agreement, the principal investigator of such project cannot be the principal investigator in any applications submitted within the agency's public calls for a given year and for further period of 3 calendar years from the date of such termination, withdrawal or decision on suspending the project funding. Non-fulfilment of the condition results in the refusal of application.

Submission of Applications

The term for submitting the applications runs from the date of announcement of Call from 09 October 2023 to 11 December 2023.

Applications shall be submitted electronically only through the electronic application system available on the agency's website www.apvv.sk. The electronic application system will be closed on **11 December at 12.00 a.m.** The agency does not guarantee successful submission of the electronic forms via electronic application system in case of the system overloading or sending an electronic version of the application in the last hours before closing the system. In this case, the applicant assumes full responsibility for such unsuccessful submission of electronic version of the application. **Only the required sections applications** generated by the system shall be submitted in their paper forms enclosed in annex No.1 of Consolidated version of the public call. The applicant is obliged to deliver the required application sections within the stated period **up to 11 December 2023** at the latest to the agency address (Slovak Research and Development Agency, Mýtina 23, P.O.BOX 839 04, 839 04 Bratislava 32). The deadline for submitting the applications is retained if the application is submitted on the last day of the deadline to the authority responsible for its delivering.

The agency will only include those applications in the evaluation process which simultaneously meet all three of the following criteria:

- a) Application is submitted through an electronic application system;
- b) Application must be submitted and subsequently assessed simultaneously on both the Slovak and the partner's side. On the Slovak side, the Slovak applicant applies to the Agency, and a partner organization submits the Application according to the instructions of the organization that opens the call in the partner country;
- c) **The Part F (obligatory scheme) of the application must not exceed the maximum limit of 10 pages.**
- d) Application meets all the conditions of the public call SK-CN 2023.

Project Duration

The expected start of the project implementation is 01 July 2024. Duration of the project cannot exceed 24 months.

Assessment of Applications

For the application assessment, the agency shall proceed in accordance with § 19, § 19b, §19d of Act No. 172/2005 Coll. as amended. Technical and formal terms of the application are set out in annex 1 of the Consolidated version of the public call. The assessment procedure and the assessment criteria are set out in Annex No. 2 of Consolidated version of the public call.

Disclosure of Decisions on Applications

SRDA shall notify applicants on the Decision on non-compliance with the technical and formal conditions pursuant to the § 19 sect. 1 of Act No. 172/2005 Coll. as amended.

Decisions on applications under of Act No. 172/2005 Coll. as amended shall be published by the agency on its website www.apvv.sk **up to 11 June 2024** at the latest. The following information on all applications shall be published on the website: application number, project title, applicant, principal investigator and funding decision. The name of the principal investigator shall not be published for applications that were not selected for funding.

Entrepreneurs will be invited to submit an Applicant's Declaration on Ad hoc de Minimis aid under Act No. 358/2015 Coll. on Regulation of Certain Relations in State Aid and *de minimis* Aid and on Amending and Supplementing Certain Acts, and the agency shall set a deadline for delivering it. Before granting the Ad hoc *de minimis* aid, the *de minimis* aid provider is obliged to ask the aid coordinator for an opinion identifying whether the measure in question meets the conditions for *de minimis* aid under the specific minimum aid rules. The aid coordinator shall send an opinion on such proposals within 30 days after receiving the proposal. The aid provider is bound by such aid coordinator's opinion. The agency shall inform the applicants of the granting the *de minimis* aid.

Granting the Funds

The project funding is provided to the recipient by the agency on the basis of a written project funding agreement **up to 31 August 2024** at the latest. The agency shall deposit the carry out the project to the account specified in the project funding agreement, as soon as possible as from the date the contract is signed. Signing of the project funding agreement is conditioned by submitting an updated project under the funding conditions specified in the application assessment report or other requirements of the agency (especially in connection with the law no. 523/2004 Coll. of Budget Rules of the Public Service and of Change and Amendment of Some Acts).

Annexes

The following annexes comprise part to the public call:

Annex 1: Technical and formal conditions

Annex 2: Assessment procedure and assessment criteria

Annex 3: Budgeting principles

Annex 4: Form template (Application form and material intent)

Annex 5: Template of the Letter of mutual intent to cooperate

Annex 6: Project electronic application system manual

Contacts

Slovak Republic

JUDr. Stanislav Mydlo

Slovak Research and Development Agency
Mýtina 23, P.O.BOX 839 04
839 04 Bratislava 32
Phone.: +421 908 932 340
E-mail: stanislav.mydlo@apvv.sk
<http://www.apvv.sk>

Mgr. Petra Kozáková, PhD.

Slovak Research and Development Agency
Mýtina 23, P.O.BOX 839 04
839 04 Bratislava 32
Phone.: +421 918 704 028
E-mail: petra.kozakova@apvv.sk
<http://www.apvv.sk>

Mgr. Andrea Danková

Ministry of Education, Science, Research and Sport of the Slovak Republic
Department of European and International Science Policy
Division of Science and Technology
Stromová 1, 813 30 Bratislava
813 30 Bratislava
Phone.: +421 2 5937 4715
E-mail: andrea.dankova@minedu.sk
<http://www.minedu.sk>

People's Republic of China

Mr. Xu Dawei

China Science and Technology Exchange Center
54 Sanlihe Road
Xicheng District
Beijing, P.R.China 100037
Phone: +86 10 6851 5510, 68598029
E-mail: yfd@cstec.org.cn
www.most.gov.cn

Ms. Duan Yuying

Ministry of Science and Technology
Fuxing Road
Haidian District
Beijing, P.R.China 100862
Phone: +86 10 58881370
E-mail: duanyy@most.cn
www.most.gov.cn